

CALTRANS

STATE OF CALIFORNIA

DEPARTMENT OF TRANSPORTATION

BID

FOR BUILDING CONSTRUCTION ON STATE HIGHWAY IN
SISKIYOU COUNTY ABOUT 22 MILES NORTH OF WEED AT GRASS LAKE
SAFETY ROADSIDE REST AREA
In District 02 On Route 97

UNDER

Notice to Bidders and Special Provisions dated April 29, 2024

Project plans approved March 15, 2024

Standard Specifications dated 2023

Standard Plans dated 2023

Identified by

Contract No. 02-4J1404

02-Sis-97-21.8

Project ID 0222000143

Electronic Bidding Contract

Bids open Wednesday, May 22, 2024

Dated April 29, 2024

**STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION
BID TO THE DEPARTMENT OF TRANSPORTATION
DES-OE-0102.1 (REV 1/13/2017)**

NAME OF BIDDER Serafix Engineering Contractors, Inc

BUSINESS STREET ADDRESS 7 Crow Canyon Court Suite 250

CITY, STATE, ZIP San Ramon, CA, 94583

TELEPHONE NO. with AREA CODE

FAX NO. with AREA CODE

CONTRACTOR LICENSE NO. 1019229

PUBLIC WORKS CONTRACTOR REGISTRATION NO. 1000044417

1. Bidder agrees, if this bid is accepted, to enter into a contract with the Department, in the form included in the Standard Specifications, to perform the work provided in the Contract under the terms of the Contract for the price or prices bid.

For a lump sum or unit price based bid, Bidder additionally agrees to perform the work within the number of working days shown on the Notice to Bidders.

For a cost plus time based bid on a contract without a plant establishment or permanent erosion control establishment period, Bidder additionally agrees to perform the work within the number of working days bid.

For a cost plus time based bid on a contract with a plant establishment or permanent erosion control establishment period, Bidder additionally agrees to perform the non-establishment work within the number of working days bid for non-establishment work.

2. For a lump sum based bid, Bidder submits this bid with a total price in the total bid space provided on the Bid Item List. For a unit price or cost plus time based bid, Bidder submits this bid with a unit price and the item total (the product of the unit price and the quantity) for each item and a total price (the sum of the item totals) in the spaces provided on the attached Bid Item List. For a unit price with additive item based bid, Bidder submits this bid with a unit price and an item total for each item and a total base bid (the sum of the item totals) and the additive items in the spaces provided on the attached Bid Item List. Additionally, for a cost plus time based bid, Bidder submits this bid with working days bid for non-establishment work, total bid for time, and total bid for bid comparison in the spaces provided on the Bid Item List.

Bidder agrees:

2.1 If a discrepancy between the unit price and the item total exists, the unit price prevails except:

2.1.1 If the unit price is omitted, or the same as the item total, the item total prevails and the unit price is the quotient of the item total and the quantity.

2.1.2 If a decimal error is apparent in the product of the unit price and the quantity, the Department will use either the unit price or item total based on the closest by percentage to the unit price or item total in the Department's Final Estimate.

2.2 If the unit price and the item total are omitted, the bid may be determined nonresponsive. If a lump sum total price is omitted, the bid may be determined nonresponsive.

2.3 Bids on lump sum items are item totals. If a unit price for a lump sum item is entered and it differs from the the item total, the item total prevails.

2.4 Entries are to be expressed in dollars or decimal fractions of a dollar. Symbols such as commas and dollar signs are ignored and have no significance in establishing unit price or item total.

2.5 Unit prices and item totals are interpreted by the number of digits and decimal placement. Do not round item totals or the total bid.

2.6 For a lump sum based bid, the item total is the bid amount the Department uses for bid comparison.

For a unit price based bid, the sum of the item totals is the bid amount the Department uses for bid comparison.

For a cost plus time based bid, the sum of the item totals and the total bid for time is the bid amount the Department uses for bid comparison.

2.7 The Department's decision on the bid amount is final.

3. Bidder has and acknowledges the following addenda: 0

4. Bidder submits this bid with one of the following forms of bidder's security equal to at least 10 percent of the bid, including any additive items:

Electronic Bidder's Bond

5. Bidder's signature is an affirmation of the included certifications. Bidder is cautioned that making a false certification may result in one or more of the following:

5.1 Criminal prosecution

5.2 Rejection of the bid

5.3 Rescission of the award

5.4 Termination of the Contract

BY (Authorized Signature) ; DATE SIGNED (Do not type)

PRINTED NAME AND TITLE OF PERSON SIGNING

ITEM NUMBER	ITEM CODE	QUANTITY	UNIT OF MEASURE	UNIT PRICE	ITEM TOTAL
BID ITEM LIST 1					
BID ITEM LIST					
0001	070030	1.000	LS	\$2,000.00	\$2,000.00
	LEAD COMPLIANCE PLAN				
0002	090100	105.000	WDAY	\$1,500.00	\$157,500.00
	TIME-RELATED OVERHEAD (WDAY)				
0003	120090	1.000	LS	\$3,000.00	\$3,000.00
	CONSTRUCTION AREA SIGNS				
0004	120120	1.000	EA	\$300.00	\$300.00
	TYPE III BARRICADE				
0005	120195	6.000	EA	\$200.00	\$1,200.00
	TRAFFIC DRUM				
0006	130100	1.000	LS	\$25,000.00	\$25,000.00
	JOB SITE MANAGEMENT				
0007	130201	1.000	LS	\$2,000.00	\$2,000.00
	WATER POLLUTION CONTROL PROGRAM				
0008	130900	1.000	LS	\$2,500.00	\$2,500.00
	TEMPORARY CONCRETE WASHOUT				
0009	994650	1.000	LS	\$475,000.00	\$475,000.00
	BUILDING WORK				
Section 1 Total					\$668,500.00
Item Total					\$668,500.00

STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION
SUBCONTRACTOR LIST
DES-OE-0102.2C (REV. 3/2015)

Enter Bid Item Number. (Item)

Enter percent of Bid Item subcontracted. (%)

If the percentage of the bid item work is less than 100 percent, list the description of the portion of the work to be subcontracted. Enter the description of the percentage of work to be performed by subcontractor. (Description)

DO NOT USE SEMICOLONS (;) IN YOUR INPUT.

Sample Data Entry:

Table with 3 columns: Item, %, Description. Rows: 6 75 striping, 42 15 reinforcement, 54 100

1) List this subcontractor? YES NO
Business Name CONVERSE CONSTRUCTION INC Location City REDDING State CA
California Contractor License Number 842863 Public Works Contractor Registration Number 1000001308
Portion of Work Subcontracted
Item % Description
9 9 BUILDING WORK (ELECTRICAL)

2) List this subcontractor? YES NO
Business Name Location City State
California Contractor License Number Public Works Contractor Registration Number
Portion of Work Subcontracted
Item % Description

3) List this subcontractor? YES NO
Business Name Location City State
California Contractor License Number Public Works Contractor Registration Number
Portion of Work Subcontracted
Item % Description

4) List this subcontractor? YES NO
Business Name Location City State
California Contractor License Number Public Works Contractor Registration Number
Portion of Work Subcontracted
Item % Description

5) List this subcontractor? YES NO

Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

6) List this subcontractor? YES NO

Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

7) List this subcontractor? YES NO

Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

8) List this subcontractor? YES NO

Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

9) List this subcontractor? YES NO

Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

10) List this subcontractor? YES NO

Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

11) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

12) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

13) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

14) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

15) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

16) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

17) List this subcontractor? YES NO

Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

18) List this subcontractor? YES NO

Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

19) List this subcontractor? YES NO

Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

20) List this subcontractor? YES NO

Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

21) List this subcontractor? YES NO

Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

22) List this subcontractor? YES NO

Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

23) List this subcontractor? YES NO

Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted

Item % Description

24) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

25) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

26) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

27) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

28) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

29) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

30) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

31) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

32) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

33) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

34) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

35) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

36) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

37) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

38) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

39) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

40) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

41) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

42) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number

Portion of Work Subcontracted		
Item	%	Description

43) List this subcontractor? YES NO

Business Name Location City State

California Contractor License Number Public Works Contractor Registration Number

Portion of Work Subcontracted		
Item	%	Description

44) List this subcontractor? YES NO

Business Name Location City State

California Contractor License Number Public Works Contractor Registration Number

Portion of Work Subcontracted		
Item	%	Description

45) List this subcontractor? YES NO

Business Name Location City State

California Contractor License Number Public Works Contractor Registration Number

Portion of Work Subcontracted		
Item	%	Description

46) List this subcontractor? YES NO

Business Name Location City State

California Contractor License Number Public Works Contractor Registration Number

Portion of Work Subcontracted		
Item	%	Description

47) List this subcontractor? YES NO

Business Name Location City State

California Contractor License Number Public Works Contractor Registration Number

Portion of Work Subcontracted		
Item	%	Description

48) List this subcontractor? YES NO

Business Name Location City State

California Contractor License Number Public Works Contractor Registration Number

Portion of Work Subcontracted		
Item	%	Description

49) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

50) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

51) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

52) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

53) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

54) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

55) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

56) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

57) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

58) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

59) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

60) List this subcontractor? YES NO
 Business Name Location City State
 California Contractor License Number Public Works Contractor Registration Number
 Portion of Work Subcontracted
 Item % Description

**STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION
REQUEST FOR SMALL BUSINESS PREFERENCE OR NON-SMALL BUSINESS PREFERENCE
DES-OE-0102.7 (NEW 2/2010)**

COMPLETE ONE OF THE FOLLOWING:

* SMALL BUSINESS PREFERENCE

The undersigned requests small business preference and certifies, under penalty of perjury, that the firm meets the requirements of 2 CA Code of Regs 1896 et seq. and is certified as a small business at the time and day of bid opening or has submitted a complete application to the Department of General Services (DGS) and is subsequently certified. The complete application and any required substantiating documentation must be received by DGS by 5:00 p.m. on bid opening date.

Small Business Certification (Reference) Number 2003487

Date: 5/21/24

Signature: _____

* NON-SMALL BUSINESS PREFERENCE

The undersigned non-small business preference and notifies the Department on the Certified Small Business Listing for the Non-Small Business Preference form that it commits to subcontract at least 25 percent of its bid amount with one or more firms that meets the requirements of 2 CA Code of Regs 1896 et seq. and the firms are certified as small businesses at the time and day of bid opening or have submitted a complete application and are subsequently certified by the Department of General Services (DGS).The complete application and any required substantiating documentation must be received by DGS by 5:00 p.m. on bid opening date. List these firms on the Certified Small Business Listing for the Non-Small Business Preference form.

Date:

Signature: _____

**STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION
CALIFORNIA COMPANY PREFERENCE
DES-OE-0102.9 (NEW 11/2008)**

This form must be completed by all bidders. Failure of a non-California company to fill out and sign this form may be cause for rejection of its bid. Eligibility for a reciprocal preference for a California company is waived if the California company fails to complete and sign this form under penalty of perjury.

The undersigned certifies that it is a "California company" as defined in Pub Cont Code §6107 and meets one of the following (check appropriate box and enter requested information):

I am a California company which has its principal place of business in California.

or

I am a California company which has its principal place of business in a state in which there is no local contractor preference on construction contracts.

Name of State _____

or

I am a California company which has its principal place of business in a state in which there is a local contractor preference and my company has paid not less than \$5,000 in sales or use taxes to California for construction related activity for each of the 5 years immediately preceding the submission of the bid.

Name of State _____

California Sales or Use Tax No. _____

or

The undersigned certifies that it is not a "California Company."

I am not a California company. My principal place of business is in: (Enter state or country)

Describe any and all bid preferences provided to your company by the state or country in which your company has its principal place of business.

Describe _____

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date: _____

Signature of Bidder: _____

**STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION
OPT OUT OF PAYMENT ADJUSTMENTS FOR PRICE INDEX FLUCTUATIONS
DES-OE-01012.a (REV 8/2012)**

To opt out of payment adjustments for price index fluctuations as specified, complete this form.

I opt out of the payment adjustments for price index fluctuations.

Enter Date and Name to opt out of payment adjustments for price index fluctuations.

Date:

Bidder's Name:

Signature of Bidder: _____

**STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION
SMALL BUSINESS/DVBE/DBE CERTIFICATION STATUS
DES-OE-0102.4 (REV 1/2024)**

Are you certified as a "Small Business" by the Office of Small Business and DVBE Services of the Department of General Services of the State of California?:

YES NO Certification number?: 2003487

Are you certified as a "Disabled Veteran Business Enterprise" by the Office of Small Business and DVBE Services of the Department of General Services of the State of California?:

YES NO Certification number?:

Are you certified as a "Disadvantaged Business Enterprise" by the California Unified Certification Program (CUCP)?:

YES NO Certification number?:

Note: This questionnaire is included for statistical reporting only.

**STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION
CERTIFIED SMALL BUSINESS LISTING FOR THE NON-SMALL BUSINESS PREFERENCE
DOT OCR-0011 (REV 01/2024)**

List the description of work, name, telephone number, certification number, and dollar amount of each certified small business who will be used for non-small business preference on this project. Failure to submit a completed Certified Small Business Listing for the Non-Small Business Preference form by 4 p.m. on the 4th business day after bid opening will result in a nonresponsive bid. Attach additional sheets if necessary.

Submit to:

MS 43
Office Engineer
Department of Transportation
1727 30th Street
Sacramento, CA 95816-7005

Non-Small Business Preference-Certification

As an authorized representative of the bidder, if the bidder is awarded the contract, the bidder is committed to use the small businesses shown on this form to meet the non-small business preference. The work to be performed in fulfillment of the contract requirements will be Commercially Useful Function (CUF) complaint in accordance with the requirements in Government Code section 14837, subdivision(d)(4). I certify under penalty of the perjury that the foregoing is true and correct.

Bidder's Authorized Representative Name

Bidder's Authorized Representative Signature DATE

Email Address

Total Claimed Participation for Non-Small Business Preference: \$

Total Claimed Participation for Non-Small Business Preference: %

Bid Item Number:

Description of Work, Service, or Materials:

Certified Small Business Name: Telephone No. :

Certification No. : \$ Amount :

Bid Item Number:

Description of Work, Service, or Materials:

Certified Small Business Name: Telephone No. :

Certification No. : \$ Amount :

Bid Item Number:

Description of Work, Service, or Materials:

Certified Small Business Name: Telephone No. :

Certification No. : \$ Amount :

Bid Item Number:

Description of Work, Service, or Materials:

Certified Small Business Name: Telephone No. :

Certification No. : \$ Amount :

Bid Item Number:

Description of Work, Service, or Materials:

Certified Small Business Name: Telephone No. :

Certification No. : \$ Amount :

Bid Item Number:

Description of Work, Service, or Materials:

Certified Small Business Name: Telephone No. :

Certification No. : \$ Amount :

Bid Item Number:

Description of Work, Service, or Materials:

Certified Small Business Name: Telephone No. :

Certification No. : \$ Amount :

Bid Item Number:

Description of Work, Service, or Materials:

Certified Small Business Name: Telephone No. :

Certification No. : \$ Amount :

**STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION
CERTIFICATIONS**

UNDOCUMENTED ALIENS EMPLOYMENT

Under Pub Cont Code § 6101, the Bidder certifies compliance with state and federal law respecting the employment of undocumented aliens.

NONCOLLUSION

NONCOLLUSION AFFIDAVIT TO BE EXECUTED BY BIDDER AND SUBMITTED WITH BID

Under PCC 7106 and 23 USC 112, the bidder declares as follows:
State of CA County of CONTRA COSTA

Name: LARRY E BRANDT ,
being first duly sworn, deposes and says that he or she is PRESIDENT of SERAFIX ENGINEERING CONTRACTORS, INC
the party making the foregoing bid that the bid is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation; that the bid is genuine and not collusive or sham; that the bidder has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid, and has not directly or indirectly colluded, conspired, connived, or agreed with any bidder or anyone else to put in a sham bid, or that anyone shall refrain from bidding; that the bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the bid price of the bidder or any other bidder, or to fix any overhead, profit, or cost element of the bid price, or of that of any other bidder, or to secure any advantage against the public body awarding the contract of anyone interested in the proposed contract; that all statements contained in the bid are true; and, further, that the bidder has not, directly or indirectly, submitted his or her bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, or paid, and will not pay, any fee to any corporation, partnership, company association, organization, bid depository, or to any member or agent thereof to effectuate a collusive or sham bid.

CHILD SUPPORT COMPLIANCE ACT

Under Pub Cont Code § 7110, the contractor acknowledges that:

1. The contractor recognizes the importance of child and family support obligations and shall fully comply with all applicable state and federal laws relating to child and family support enforcement, including, but not limited to, disclosure of information and compliance with earnings assignment orders, as provided in Chapter 8 (commencing with section 5200) of Part 5 of Division 9 of the Family Code; and
2. The contractor to the best of its knowledge is fully complying with the earnings assignment orders of all employees and is providing the names of all new employees to the New Hire Registry maintained by the Employment Development Department.

NATIONAL LABOR RELATIONS BOARD

Under Pub Cont Code 10232, the contractor, swears under penalty of perjury, that no more than one final unappealable finding of contempt of court by a federal court has been issued against the contractor within the immediately preceding two year period because of the contractor's failure to comply with an order of a federal court which orders the contractor to comply with an order of the National Labor Relations Board.

VIOLATION OF LAW OR A SAFETY REGULATION

Under Pub Cont Code 10162, the Bidder must complete, under penalty of perjury, the following questionnaire:

Has the Bidder, any officer of the Bidder, or any employee of the Bidder who has a proprietary interest in the Bidder, ever been disqualified, removed, or otherwise prevented from bidding on, or completing a federal, state, or local government project because of a violation of law or a safety regulation?

YES NO

If the answer is yes, explain the circumstances in the following space.

ANTITRUST LAW

Under Pub Con Code § 10285.1, the Bidder declares under penalty of perjury under the laws of the State of California that the Bidder has has not been convicted within the preceding three years of any offenses referred to in that section, including any charge of fraud, bribery, collusion, conspiracy, or any other act in violation of any state or federal antitrust law in connection with the bidding upon, award of, or performance of, any public works contract, as defined in Pub Cont Code § 1101, with any public entity, as defined in Pub Cont Code § 1100, including the Regents of the University of California or the Trustees of the California State University. The term "Bidder" includes any partner, member, officer, director, responsible managing officer, or responsible managing employee thereof, as referred to in Section 10285.1.

If the Bidder has been convicted of an offense within the past 3 years, provide the conviction details including the date and ultimate resolution of each conviction in the space below.

PERMITS, LICENSES, AGREEMENTS, CERTIFICATIONS, AND RAILROAD RELATIONS AND INSURANCE REQUIREMENTS

Bidder acknowledges that permits, licenses, agreements, certifications, and the requirements in the document titled "Railroad Relations and Insurance Requirements" are components of the Contract under section 5-1.02 of the Standard Specifications.

BIDDER RESPONSIBILITY QUESTIONNAIRE

Failure to truthfully answer the following questions will result in a finding that the bid is nonresponsive. The Bidder must complete, under penalty of perjury, the following questionnaire:

1. Within the past 10 years, has the Bidder been found to be a nonresponsible bidder by any public entity, including federal, State, local, or regional entities?

YES NO

2. Within the past 10 years, have any of the Bidder's officers or employees with a proprietary interest in the Bidder been determined to be a nonresponsible bidder by a public entity, including federal, State, local or regional entities?

YES NO

3. Is there any officer or employee of the Bidder who now has or has had any proprietary interest in another company that bid or bids on public works projects whose company has been determined to be a nonresponsible bidder by any public entity, including federal, State, local, or regional entities?

YES NO

4. If the answer to any of the 3 preceding questions is yes, disclose all pertinent details of the determination of nonresponsibility, including:

4.1. Date of each nonresponsibility determination

4.2. Name of each public agency issuing the nonresponsibility determination and a contact person at that agency who would have information about the determination

4.3. Contract number for each nonresponsibility determination

Executive Order N-6-22 - Russia Sanctions

On March 4, 2022, Governor Gavin Newsom issued Executive Order N-6-22 (the EO) regarding Economic Sanctions against Russia and Russian entities and individuals. "Economic Sanctions" refers to sanctions imposed by the U.S. government in response to Russia's actions in Ukraine, as well as any sanctions imposed under state law unless the contract has been Federalized (i.e. there is federal participation in any phase). By submitting a bid or proposal, Contractor represents that it is not a target of Economic Sanctions. Should the State determine Contractor is a target of Economic Sanctions or is conducting prohibited transactions with sanctioned individuals or entities,

that shall be grounds for rejection of the Contractor's bid/proposal any time prior to contract execution, or, if determined after contract execution, shall be grounds for termination by the State.

END CERTIFICATIONS - STATE

Bidder's Bond

DES-OE-0102.3 (REV 3/2008)

Bidder's Security: Electronic Bidder's Bond

If electronic Bid Bond data is not submitted with bid, submit Bidder's Security. Bidder's Security must be received by Office Engineer before bid opening date and time. Bid Bond forms can be downloaded from the Caltrans Electronic Bidding website at: http://www.dot.ca.gov/hq/esc/oe/electronic_bidding/electronic_bidding.html. Bid Bond forms are also the last 2 pages of the printout of the electronic bid.

Bond ID: SCA0513290048

Bond Registry: Surety2000

Bond Percent: 10

**STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION
BIDDER'S BOND
DES-OE-0102.3 (REV 3/2008)**

Contract No. 02-4J1404

Bond No. _____

We _____

_____ as Principal, and

_____ as Surety are bound unto the State of California, Department of Transportation, hereafter referred to as "Obligee", in the penal sum of ten percent (10%) of the total amount of the bid of the Principal submitted to the Obligee for the work described below, for the payment of which sum we bind ourselves, jointly and severally,

THE CONDITION OF THIS OBLIGATION IS SUCH, THAT:

WHEREAS, the Principal is submitting a bid to the Obligee, for BUILDING CONSTRUCTION ON STATE HIGHWAY IN SISKIYOU COUNTY ABOUT 22 MILES NORTH OF WEED AT GRASS LAKE SAFETY ROADSIDE REST AREA

In District 02 On Route 97 for which bids are to be opened at 1727 30th Street, Sacramento CA 95816 on Wednesday, May 22, 2024

NOW, THEREFORE, if the Principal is awarded the contract, and, within the time and manner required under the specifications, after the prescribed forms are presented to him for signature, enters into a written contract, in the prescribed form, in accordance with the bid, and files two bonds with the Obligee, one to guarantee faithful performance (if specified in the contract) of the contract and the other to guarantee payment for labor and materials as provided by law, then this obligation shall be null and void; otherwise, it shall remain in full force.

In the event a suit is brought upon this bond by the Obligee and judgment is recovered, the Surety shall pay all costs incurred by the Obligee in such suit, including a reasonable attorney's fee to be fixed by the court.

Dated _____, 20_____.

Principal

Surety

Address of Surety (For correspondence or claims)

By _____

Attorney-in-Fact

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California

County of _____

On this _____ before me, _____ ,
Date Here Insert Name and Title of the Officer

personally appeared _____ ,
Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity (ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

(SEAL)

Signature _____
Signature of Notary Public

Bid Bond in Accordance with Contract Specifications

Be sure to refer to the actual bond documents referenced in the contract specifications for specific terms before completing this form.

PRINCIPAL NAME Serafix Engineering Contractors, Inc.	PRINCIPAL ADDRESS 7 Crow Canyon Court #250, San Ramon, CA 94583
SURETY NAME Western Surety Company	SURETY ADDRESS 151 N Franklin Street, Chicago, IL 60606
OBLIGEE NAME State of California, Department of Transportation	OBLIGEE ADDRESS 1727 30th Street, Bidders' Exchange, MS 26, Sacramento, CA 95816

Bond Information

BID DATE 05/22/2024	CONTRACT ID 02-4J1404	CONTRACT VENDOR ID VC1700002004
PROJECT DESCRIPTION For building construction on state highway in Siskiyou County about 22 Miles north of Weed at Grass Lake Safety Roadside Rest Area in District 02 on Route 97		
AMOUNT OF BID SECURITY 10%	AMOUNT OF BID SECURITY-SPELLED OUT Ten Percent (10%) of total amount of bid	
BOND ENTERED AND EXECUTED BY Rossio Polio		ATTORNEY-IN-FACT SIGNATURE <i>Rossio Polio</i>

Know all men by these presents that Western Surety Company,
a Corporation duly organized under the laws of the State of South Dakota,
are held and firmly bound unto the above owner/obligee by the transmission. The surety agrees to waive the statute of fraud defense and further agrees that the owner/obligee is a third party beneficiary of the waiver for the purposes of enforcing this bid bond.



Western Surety Company

POWER OF ATTORNEY APPOINTING INDIVIDUAL ATTORNEY-IN-FACT

SCA0513290048

Know All Men By These Presents, That WESTERN SURETY COMPANY, a South Dakota corporation, is a duly organized and existing corporation having its principal office in the City of Sioux Falls, and State of South Dakota, and that it does by virtue of the signature and seal herein affixed hereby make, constitute and appoint

Individually [Rossio Polio](#)

of, [California](#), its true and lawful Attorney(s)-in-Fact with full power and authority hereby conferred to sign, seal and execute for and on its behalf bonds, undertakings and other obligatory instruments of similar nature

- In Unlimited Amounts -

and to bind it thereby as fully and to the same extent as if such instruments were signed by a duly authorized officer of the corporation and all the acts of said Attorney, pursuant to the authority hereby given, are hereby ratified and confirmed.

This Power of Attorney is made and executed pursuant to and by authority of the Authorizing By-Laws and Resolutions printed at the bottom of this page, duly adopted, as indicated, by the shareholders of the corporation.

In Witness Whereof, WESTERN SURETY COMPANY has caused these presents to be signed by its Vice President and its corporate seal to be hereto affixed on this 10th day of January, 2024.



WESTERN SURETY COMPANY

Larry Kasten, Vice President

State of South Dakota }
County of Minnehaha } ss

On this 10th day of January, 2024, before me personally came Larry Kasten, to me known, who, being by me duly sworn, did depose and say: that he resides in the City of Sioux Falls, State of South Dakota; that he is a Vice President of WESTERN SURETY COMPANY described in and which executed the above instrument; that he knows the seal of said corporation; that the seal affixed to the said instrument is such corporate seal; that it was so affixed pursuant to authority given by the Board of Directors of said corporation and that he signed his name thereto pursuant to like authority, and acknowledges same to be the act and deed of said corporation.

My commission expires

March 2, 2026



M. Bent, Notary Public

CERTIFICATE

I, Paula Kolsrud, Assistant Secretary of WESTERN SURETY COMPANY do hereby certify that the Power of Attorney hereinabove set forth is still in force, and further certify that the By-Law and Resolutions of the corporation printed below this certificate are still in force. In testimony whereof I have hereunto subscribed my name and affixed the seal of the said corporation this **05/13/2024**



WESTERN SURETY COMPANY

Paula Kolsrud, Assistant Secretary

Authorizing By-Laws and Resolutions

ADOPTED BY THE SHAREHOLDERS OF WESTERN SURETY COMPANY

This Power of Attorney is made and executed pursuant to and by authority of the following By-Law duly adopted by the shareholders of the Company.

Section 7. All bonds, policies, undertakings, Powers of Attorney, or other obligations of the corporation shall be executed in the corporate name of the Company by the President, Secretary, and Assistant Secretary, Treasurer, or any Vice President, or by such other officers as the Board of Directors may authorize. The President, any Vice President, Secretary, any Assistant Secretary, or the Treasurer may appoint Attorneys in Fact or agents who shall have authority to issue bonds, policies, or undertakings in the name of the Company. The corporate seal is not necessary for the validity of any bonds, policies, undertakings, Powers of Attorney or other obligations of the corporation. The signature of any such officer and the corporate seal may be printed by facsimile.

This Power of Attorney is signed by Larry Kasten, Vice President, who has been authorized pursuant to the above Bylaw to execute power of attorneys on behalf of Western Surety Company.

This Power of Attorney may be signed by digital signature and sealed by a digital or otherwise electronic-formatted corporate seal under and by the authority of the following Resolution adopted by the Board of Directors of the Company by unanimous written consent dated the 27th day of April, 2022:

“RESOLVED: That it is in the best interest of the Company to periodically ratify and confirm any corporate documents signed by digital signatures and to ratify and confirm the use of a digital or otherwise electronic-formatted corporate seal, each to be considered the act and deed of the Company.”

Go to www.cnasurety.com > Owner / Obligee Services > Validate Bond Coverage, if you want to verify bond authenticity.

